

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Noriko MIWA, et al.

SERIAL NO: 09/970,935

GAU: 1761

FILED: October 5, 2001

EXAMINER: Wong

FOR: METHOD FOR MODIFYING RAW MATERIAL AND DAIRY PRODUCT PREPARED BY USING THE MODIFIED  
RAW MATERIAL MILK

## INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.Norman F. Oblon  
Registration No 24,618Stephen G. Baxter, Ph.D.  
Registration No 32,884

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/98)

OCT 23 2002

JC34

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Noriko MIWA, et al.

SERIAL NO: 09/970,935

GAU: 1761

FILED: October 5, 2001

EXAMINER: Wong

FOR: METHOD FOR MODIFYING RAW MATERIAL AND DAIRY PRODUCT PREPARED BY USING THE MODIFIED  
RAW MATERIAL MILKRECEIVED  
OCT 25 2002  
TC 1700

## INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

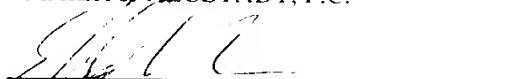
## CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.Norman F. Oblon  
Registration No. 24,618Stephen G. Baxter, Ph.D.  
Registration No. 32,884**22850**Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/98)

DOCKET NO.: 214659US0



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: :

NORIKO MIWA ET AL : EXAMINER: WONG

SERIAL NO. : 09/970,935 :

FILED: OCTOBER 5, 2001 : GROUP ART UNIT: 1761

FOR: METHOD FOR MODIFYING RAW MATERIAL MILK AND DAIRY PRODUCT  
PREPARED BY USING THE MODIFIED RAW MATERIAL MILK

RECEIVED  
OCT 25 2002  
TC 1700

STATEMENT OF RELEVANCY

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

References AN-AAN in the Information Disclosure Statement filed herewith are  
discussed in the specification of the above-identified application.

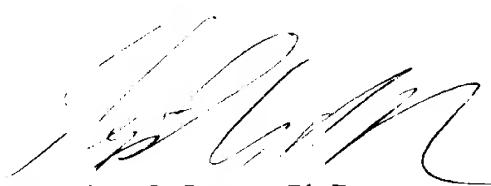
Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon  
Attorney of Record  
Registration No. 24,618



22850



Stephen G. Baxter, Ph.D.  
Registration No. 32,884

(703) 413-3000

Fax #: (703) 413-2220

I:\atty\SGB\214659US-StatementRel.wpd